

Program B: Incarceration

Program Authorization: R.S. 15:821-840.2 and R.S. 36:401-409

PROGRAM DESCRIPTION

The mission of the Incarceration Program is to provide for the custody, control, and care of adjudicated offenders through enforcement of the laws and implementation of programs designed to ensure the safety of the public, staff, and inmates. The Incarceration Program comprises approximately 72.5% of the total institution budget.

The goals of the Incarceration Program are:

1. Maximize public safety through appropriate and effective correctional custodial and supervisory programs.
2. Provide for the safety of correctional staff and inmates by maintaining an organized and disciplined system of operations that promotes stability in the institution.
3. Ensure that basic services relating to adequate food, clothing, and shelter are provided to the inmate population.
4. Provide the maximum available bed space allowable by the State Fire Marshal, Department of Health and Hospitals, American Correctional Association requirements, budgeted resources, and good correctional practices.
5. Protect the investment by the state in the facility by providing an adequate maintenance program for all buildings and equipment and by following Division of Administration property control regulations.

The Incarceration Program encompasses all security and related costs. It also includes other services related to the custody and care of adjudicated offenders, such as the classification of inmates and related record-keeping, provision of basic necessities such as food, clothing, and laundry services for the inmate population, maintenance and support of the facility and other equipment, and Project Clean-Up. (Project Clean-Up, a cooperative effort between the Department of Public Safety and Corrections, Corrections Services, and the Department of Transportation and Development [DOTD], involves inmate crews for litter pickup and DOTD work crews for mowing and litter collection. The project is a direct result of the commitment of Governor and Mrs. Foster to improve the appearance of roads and highways across the state.) The Incarceration Program comprises approximately 72.5% of the total institution budget.

OBJECTIVES AND PERFORMANCE INDICATORS

Unless otherwise indicated, all objectives are to be accomplished during or by the end of FY 2000-2001. Performance indicators are made up of two parts: name and value. The indicator name describes what is being measured. The indicator value is the numeric value or level achieved within a given measurement period. For budgeting purposes, performance indicator values are shown for the prior fiscal year, the current fiscal year, and alternative funding scenarios (continuation budget level and Executive Budget recommendation level) for the ensuing fiscal year (the fiscal year of the budget document).

The objectives and performance indicators that appear below are associated with program funding in the Base Executive Budget for FY 2000-01. Specific information on program funding is presented in the financial sections that follow performance tables.

1. (KEY) To prohibit escapes.

Strategic Link: This operational objective is related to the program's Strategic Objective I.1: *To prohibit escapes on an annual basis.*

LEVEL	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES					
		YEAREND PERFORMANCE STANDARD FY 1998-1999	ACTUAL YEAREND PERFORMANCE FY 1998-1999	ACT 10 PERFORMANCE STANDARD FY 1999-2000	EXISTING PERFORMANCE STANDARD FY 1999-2000	AT CONTINUATION BUDGET LEVEL FY 2000-2001	AT RECOMMENDED BUDGET LEVEL FY 2000-2001
K	Number of escapes	0	0	0	0	0	0

2. (KEY) To protect staff and inmates from security breaches on a 24-hour basis.

Strategic Link: This operational objective is related to Strategic Objective IV.1: *To protect staff and inmates from security breaches on an annual basis.*

LEVEL	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES					
		YEAREND PERFORMANCE STANDARD FY 1998-1999	ACTUAL YEAREND PERFORMANCE FY 1998-1999	ACT 10 PERFORMANCE STANDARD FY 1999-2000	EXISTING PERFORMANCE STANDARD FY 1999-2000	AT CONTINUATION BUDGET LEVEL FY 2000-2001	AT RECOMMENDED BUDGET LEVEL FY 2000-2001
K	Number of inmates per correctional security officer	3.5	3.4	3.6	3.6	3.6	3.6

3. (KEY) To maintain but not exceed capacity.

Strategic Link: This operational objective is related to Strategic Objective IV.1: *To maintain, but not exceed capacity on an annual basis.*

LEVEL	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES					
		YEAREND PERFORMANCE STANDARD FY 1998-1999	ACTUAL YEAREND PERFORMANCE FY 1998-1999	ACT 10 PERFORMANCE STANDARD FY 1999-2000	EXISTING PERFORMANCE STANDARD FY 1999-2000	AT CONTINUATION BUDGET LEVEL FY 2000-2001	AT RECOMMENDED BUDGET LEVEL FY 2000-2001
K	Capacity	1,668	1,669	1,669	1,669	1,879	1,669

GENERAL PERFORMANCE INFORMATION: SECURITY TRENDS

DIXON CORRECTIONAL CENTER

PERFORMANCE INDICATOR	PRIOR YEAR ACTUAL FY 1994-95	PRIOR YEAR ACTUAL FY 1995-96	PRIOR YEAR ACTUAL FY 1996-97	PRIOR YEAR ACTUAL FY 1997-98	PRIOR YEAR ACTUAL FY 1998-99
Number of inmates per corrections officer	3.6	3.7	3.5	3.4	3.4
Number of escapes	3	0	1	2	0
Number of apprehensions	3	0	1	2	0
Number of major disturbances	0	0	0	1	0
Number of minor disturbances	19	7	4	0	1
Number of assaults - inmate on staff	15	13	18	19	20
Number of assaults - inmate on inmate	183	183	230	250	233
Number of sex offenses	20	10	65	75	90

4. (SUPPORTING) To adequately maintain the state's infrastructure.

Strategic Link: This operational objective is related to Strategic Goal V: *To protect the investment by the state in the facility by providing an adequate maintenance program for all buildings and equipment and by following Division of Administration property control regulations.*

Explanatory Note: The Office of Risk Management requires state agencies to be audited annually in compliance with the state Loss Prevention Program. Agencies that do not pass 100% of the audit can be assessed a 5% increase in their risk management premiums. Those agencies that pass the audit realize a savings in current year risk management premiums.

Act 11 of the 1998 Special Legislative Session provides that: "Any agency which has undergone a loss prevention audit by the Office of Risk Management and has received certification from the Office of Risk Management as being in compliance with state law and loss prevention standards prescribed by the Office of Risk Management shall receive a credit to be applied to the agency's annual self-insured premium per line of insurance coverage, excluding the coverages for road hazards and medical malpractice, equal to five percent of the agency's total annual self-insured premium paid per line of coverage. An agency which has failed to receive certification after undergoing a loss prevention audit by the Office of Risk Management shall be liable for a penalty of five percent of the agency's total annual self-insured premium paid per line of coverage, excluding the coverages for road hazards and medical malpractice."

LEVEL	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES					
		YEAREND PERFORMANCE STANDARD FY 1998-1999	ACTUAL YEAREND PERFORMANCE FY 1998-1999	ACT 10 PERFORMANCE STANDARD FY 1999-2000	EXISTING PERFORMANCE STANDARD FY 1999-2000	AT CONTINUATION BUDGET LEVEL FY 2000-2001	AT RECOMMENDED BUDGET LEVEL FY 2000-2001
S	Savings resulting from successful completion of risk management audit	Not applicable ¹	5%	Not applicable ¹	5% ¹	5%	5%
S	Dollar amount of savings resulting from successful completion of risk management audit	Not applicable ¹	\$23,073	Not applicable ¹	\$23,073 ¹	\$23,073	\$23,073

¹ This is a new performance indicator. It did not appear under Act 19 of 1998 or Act 10 of 1999 and has no performance standards for FY 1998-99 and FY 1999-00. The value shown

RESOURCE ALLOCATION FOR THE PROGRAM

Incarceration						
	ACTUAL	ACT 10	EXISTING	CONTINUATION	RECOMMENDED	RECOMMENDED
	1998-1999	1999- 2000	1999- 2000	2000 - 2001	2000 - 2001	OVER/(UNDER)
						EXISTING
MEANS OF FINANCING:						
STATE GENERAL FUND (Direct)	\$15,289,232	\$17,011,189	\$17,158,633	\$17,877,211	\$17,350,874	\$192,241
STATE GENERAL FUND BY:						
Interagency Transfers	53,118	53,118	53,118	53,118	53,118	0
Fees & Self-gen. Revenues	844,216	696,991	696,991	696,991	696,991	0
Statutory Dedications	0	0	0	0	0	0
Interim Emergency Board	0	0	0	0	0	0
FEDERAL FUNDS	0	0	0	0	0	0
TOTAL MEANS OF FINANCING	\$16,186,566	\$17,761,298	\$17,908,742	\$18,627,320	\$18,100,983	\$192,241
EXPENDITURES & REQUEST:						
Salaries	\$10,654,815	\$11,778,121	\$11,778,121	\$12,270,828	\$11,999,859	\$221,738
Other Compensation	299,951	12,083	12,083	12,083	12,083	0
Related Benefits	1,702,262	1,910,442	1,910,442	1,964,801	2,072,859	162,417
Total Operating Expenses	2,959,318	3,549,632	3,551,041	3,623,154	3,549,632	(1,409)
Professional Services	29,067	0	0	0	0	0
Total Other Charges	0	720	720	720	720	0
Total Acq. & Major Repairs	541,153	510,300	656,335	755,734	465,830	(190,505)
TOTAL EXPENDITURES AND REQUEST	\$16,186,566	\$17,761,298	\$17,908,742	\$18,627,320	\$18,100,983	\$192,241
AUTHORIZED FULL-TIME						
EQUIVALENTS: Classified	481	481	481	481	479	(2)
Unclassified	0	0	0	0	0	0
TOTAL	481	481	481	481	479	(2)

SOURCE OF FUNDING

This program is funded with State General Fund, Interagency Transfers and Fees and Self-generated Revenues. The Interagency Transfers are derived from the Department of Transportation and Development for security costs associated with providing inmate road crews. The Fees and Self-generated Revenues are derived from the following: (1) employee purchase of meals; (2) reimbursement from offenders for copies of inmate records; (3) funds received from the inmate canteen to cover the administrative cost of managing the inmate canteen program; (4) funds received from telephone commissions; (5) funds received from the legislature for reimbursement of overtime for the correctional officers supervising inmate work crews at the capitol; (6) reimbursement from Prison Enterprises for correctional officer salaries; and (7) reimbursement from the city of Zachary, Clinton, Slaughter, West Feliciana Jackson and Norwood for security of inmate work crews.

ANALYSIS OF RECOMMENDATION

GENERAL FUND	TOTAL	T.O.	DESCRIPTION
\$17,011,189	\$17,761,298	481	ACT 10 FISCAL YEAR 1999-2000
			BA-7 TRANSACTIONS:
\$147,444	\$147,444	0	Carryforward for Operating Supplies, Acquisitions and Major Repairs
\$17,158,633	\$17,908,742	481	EXISTING OPERATING BUDGET – December 3, 1999
\$254,972	\$254,972	0	Annualization of FY 1999-2000 Classified State Employees Merit Increase
\$194,722	\$194,722	0	Classified State Employees Merit Increases for FY 2000-2001
\$75,221	\$75,221	0	State Employee Retirement Rate Adjustment
\$465,830	\$465,830	0	Acquisitions & Major Repairs
(\$510,300)	(\$510,300)	0	Non-Recurring Acquisitions & Major Repairs
(\$147,444)	(\$147,444)	0	Non-Recurring Carry Forwards
\$794,734	\$794,734	0	Salary Base Adjustment
(\$770,266)	(\$770,266)	0	Attrition Adjustment
\$97,372	\$97,372	0	Other Adjustments - Allows payment of Compensatory Leave in excess of 540 hours within a calendar year
(\$72,600)	(\$72,600)	(2)	Other Technical Adjustments - Transfer one (1) Therapeutic Recreation Worker to the Rehabilitation Program and one (1) Clinical Social Worker position to the Health Services Program to properly reflect funding in the appropriate programs
(\$190,000)	(\$190,000)	0	Other Technical Adjustments - Transfers salary funding to the Health Services Program and to the Blue Walters Program to properly reflect funding in the appropriate programs
\$17,350,874	\$18,100,983	479	TOTAL RECOMMENDED
\$0	\$0	0	LESS GOVERNOR'S SUPPLEMENTARY RECOMMENDATIONS
\$17,350,874	\$18,100,983	479	BASE EXECUTIVE BUDGET FISCAL YEAR 2000-2001
			SUPPLEMENTARY RECOMMENDATIONS CONTINGENT ON SALES TAX RENEWAL:
\$0	\$0	0	None
\$0	\$0	0	TOTAL SUPPLEMENTARY RECOMMENDATIONS CONTINGENT ON SALES TAX RENEWAL

SUPPLEMENTARY RECOMMENDATIONS CONTINGENT ON NEW REVENUE:

\$0 \$0 0 None

\$0 \$0 0 TOTAL SUPPLEMENTARY RECOMMENDATIONS CONTINGENT ON NEW REVENUE

\$17,350,874 \$18,100,983 479 GRAND TOTAL RECOMMENDED

The total means of financing for this program is recommended at 101.1% of the existing operating budget. It represents 66.6% of the total request (\$27,195,054) for this program. The increase in the recommended funding level is attributed to the adjustment necessary to fully fund the 479 recommended positions. A decrease of two positions is reflected due to the transfer of the positions and associated funding to other programs to properly reflect funding in the appropriate programs.

PROFESSIONAL SERVICES

This program does not have funding for Professional Services for Fiscal Year 2000-2001.

OTHER CHARGES

\$720 User fee for radio system - Department of Public Safety

\$720 TOTAL INTERAGENCY TRANSFERS

ACQUISITIONS AND MAJOR REPAIRS

\$465,830 Replacement of two vehicles, replace various pieces of security, kitchen and maintenance equipment; replace main water lines, replace boiler in Compound 1, renovate Compound 1 & 2 kitchens, replace security gate and operator.

\$465,830 TOTAL ACQUISITIONS AND MAJOR REPAIRS